

# A Flexible Bayesian Framework to Study Viral Trait Evolution

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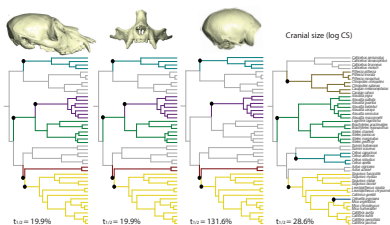
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<sup>4</sup> Department of Biostatistics, Biomathematics and Human Genetics, UCLA, USA

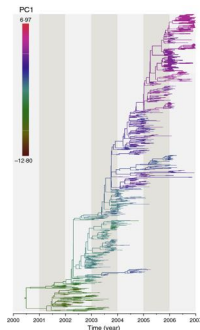
20 May 2021



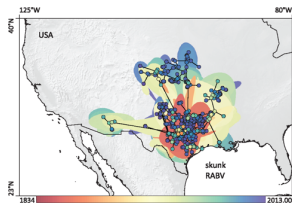
# Phylogenetic Comparative Methods



Aristide et al. (2018)



Vrancken et al. (2015)



Dellicour et al. (2017)

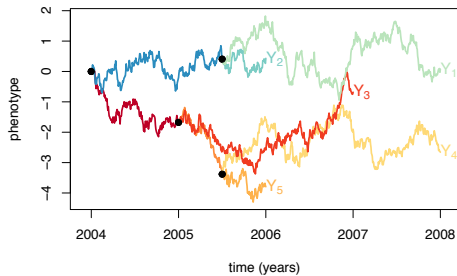
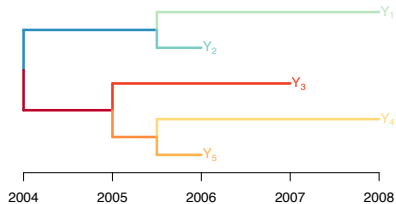
- Various time scales: Myr – decade.
- Various traits: morpho, geo, viral.

Question: Trait dynamics for an evolving organism ?

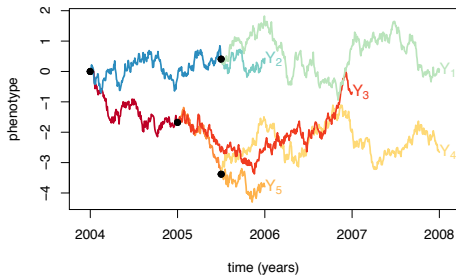
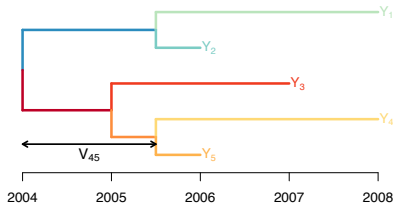
# Outline

- ① Models of Trait Evolution
- ② Efficient Bayesian Inference
- ③ HIV Virulence Heritability Study

## BM on a Tree



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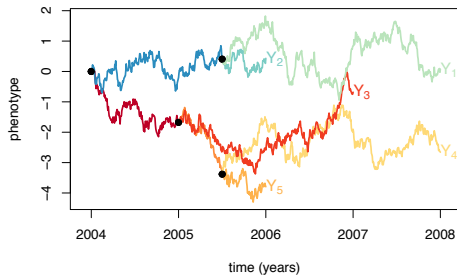
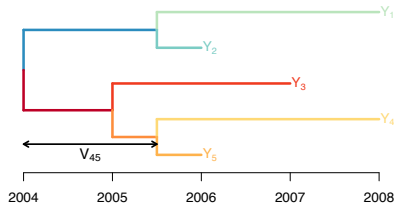


SDE:  $dX_t = \sigma dB_t$

Variance:  $\text{Cov}[Y_4; Y_5] = \sigma^2 \times V_{45}$       shared evolution time

Expectation:  $\mathbb{E}[Y_i] = \mu$       ancestral root value

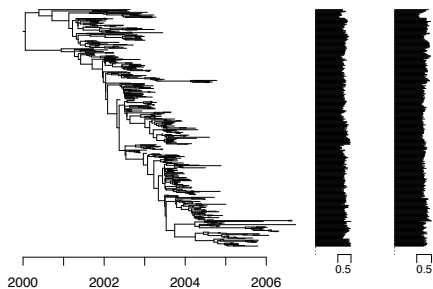
# BM on a Tree



Distribution: Normal

$$\mathbf{Y} \sim \mathcal{N}(\mu \mathbf{1}_n, \sigma^2 \mathbf{V})$$

# Multivariate BM

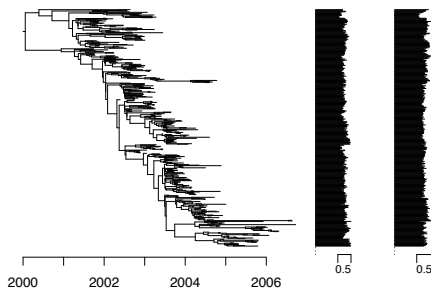


Data: Vectors of  $p$  traits

$$\mathbf{Y}_i^T = (Y_{i1}, \dots, Y_{ip})$$

Tree: Influenza H3N2 (Lemey et al., 2014)

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$$\mathbf{Y}_i^T = (Y_{i1}, \dots, Y_{ip})$$

SDE: 
$$d\mathbf{X}_t = \boldsymbol{\Sigma} d\mathbf{B}_t$$

$$\mathbf{R} = \boldsymbol{\Sigma}^T \boldsymbol{\Sigma}$$

Variance: 
$$\text{Cov}[Y_{ik}; Y_{jl}] = R_{kl} \times V_{ij}$$

shared evolution time

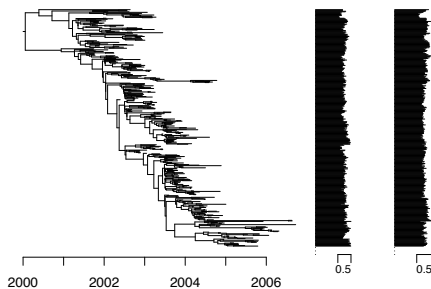
Expectation: 
$$\mathbb{E}[\mathbf{Y}_{.k}] = \boldsymbol{\mu}_k$$

ancestral root value

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## Multivariate BM



Data: Vectors of  $p$  traits

$$\mathbf{Y}_i^T = (Y_{i1}, \dots, Y_{ip})$$

Distribution: Matrix Normal

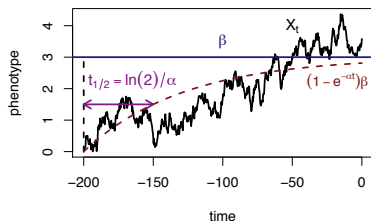
$$\mathbf{Y} \sim \mathcal{MN}(\mathbf{1}_n \boldsymbol{\mu}^T, \mathbf{V}, \mathbf{R})$$

$$\text{Var}[\text{vec}(\mathbf{Y})] = \mathbf{R} \otimes \mathbf{V}$$

Tree: Influenza H3N2 (Lemey et al., 2014)

# Ornstein-Uhlenbeck Modeling

(Hansen, 1997)



$$dX_t = \alpha[\beta - X_t] dt + \sigma dB_t$$

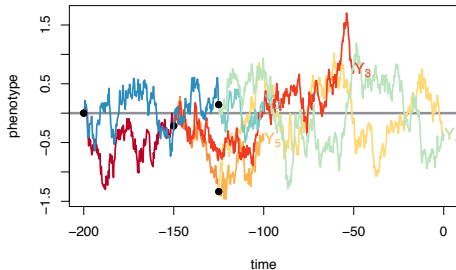
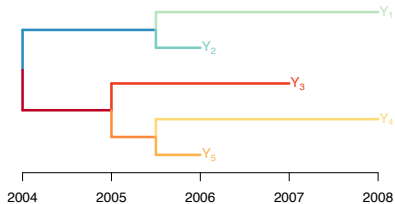
## Deterministic part:

- $\beta$ : primary optimum (mechanistically defined).
- $\ln(2)/\alpha$ : phylogenetic half live.

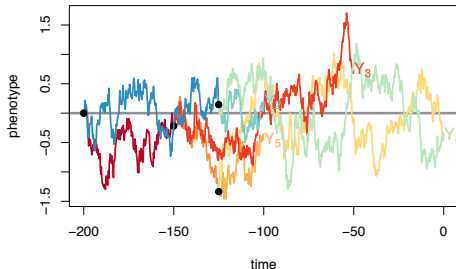
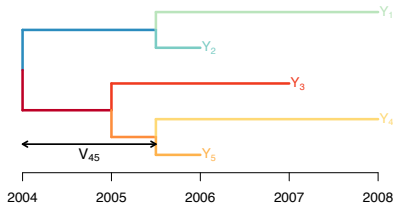
## Stochastic part:

- $X_t$ : trait value (actual optimum).
- $\sigma dB(t)$ : Brownian fluctuations.

# OU on a Tree



# OU on a Tree



SDE: 
$$dX_t = \alpha[\beta - X_t]dt + \sigma dB_t$$

Variance: 
$$\text{Cov}[Y_4; Y_5] = \frac{\sigma^2}{2\alpha} e^{-\alpha(V_4+V_5)} (e^{2\alpha V_{45}} - 1)$$

Expectation: 
$$\mathbb{E}[Y_i] = \mu e^{-\alpha V_i} + \beta(1 - e^{-\alpha V_i})$$

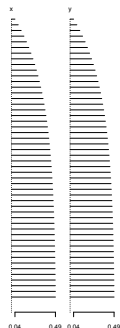
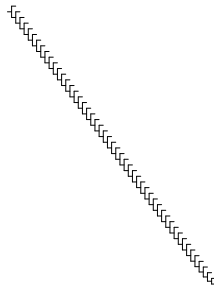
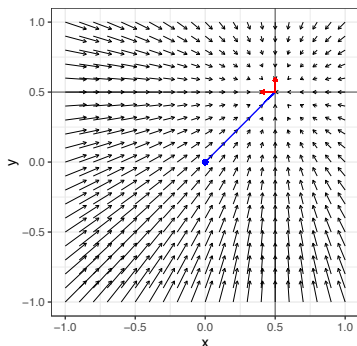
# Multivariate OU Modeling

$$d\mathbf{X}_t = \mathbf{A}[\boldsymbol{\beta} - \mathbf{X}_t] dt + \boldsymbol{\Sigma} d\mathbf{B}_t$$

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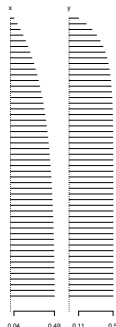
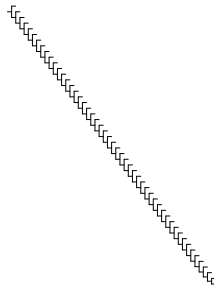
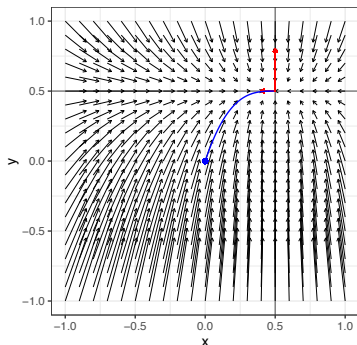
Scalar:  $\mathbf{A} = \begin{pmatrix} 0.1 & 0 \\ 0 & 0.1 \end{pmatrix}$      $\boldsymbol{\beta} = \begin{pmatrix} 0.5 \\ 0.5 \end{pmatrix}$



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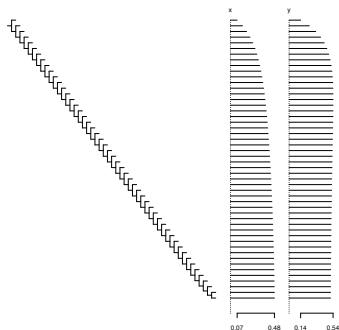
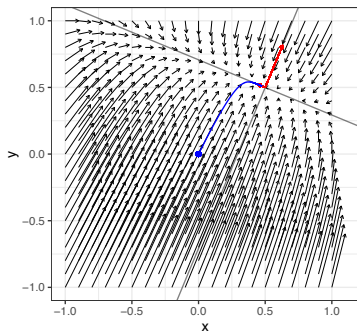
Diagonal:  $\mathbf{A} = \begin{pmatrix} 0.1 & 0 \\ 0 & 0.3 \end{pmatrix}$      $\boldsymbol{\beta} = \begin{pmatrix} 0.5 \\ 0.5 \end{pmatrix}$



# Multivariate OU Modeling

$$d\mathbf{X}_t = \mathbf{A}[\boldsymbol{\beta} - \mathbf{X}_t] dt + \boldsymbol{\Sigma} d\mathbf{B}_t$$

Symmetric:  $\mathbf{A} = \begin{pmatrix} 0.1 & 0.1 \\ 0.1 & 0.3 \end{pmatrix}$      $\boldsymbol{\beta} = \begin{pmatrix} 0.5 \\ 0.5 \end{pmatrix}$

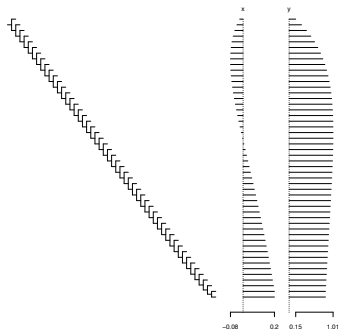
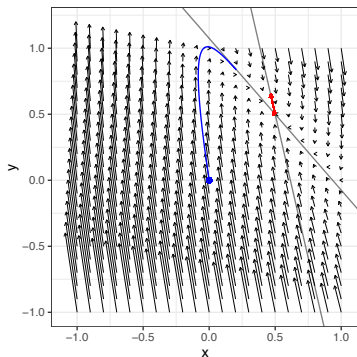




# Multivariate OU Modeling

$$d\mathbf{X}_t = \mathbf{A}[\boldsymbol{\beta} - \mathbf{X}_t] dt + \boldsymbol{\Sigma} d\mathbf{B}_t$$

Diagonalizable in  $\mathbb{R}$ :  $\mathbf{A} = \begin{pmatrix} -0.02 & -0.04 \\ 0.2 & 0.2 \end{pmatrix}$   $\boldsymbol{\beta} = \begin{pmatrix} 0.5 \\ 0.5 \end{pmatrix}$



# Multivariate OU

$$d\mathbf{X}_t = \mathbf{A}[\boldsymbol{\beta} - \mathbf{X}_t] dt + \boldsymbol{\Sigma} d\mathbf{B}_t$$

Diagonalizable:  $\mathbf{A} = \mathbf{P}\boldsymbol{\Lambda}\mathbf{P}^{-1}$   $\lambda_k > 0$

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Variance:  $\text{Cov}[\mathbf{Y}_i; \mathbf{Y}_j] = \mathbf{P} [\mathbf{W}_{ij} \odot \mathbf{P}^{-1}\mathbf{R}\mathbf{P}^{-T}] \mathbf{P}^T$

$$\mathbf{W}_{ij} = \left[ \frac{1}{\lambda_q + \lambda_r} e^{-\lambda_q V_i} e^{-\lambda_r V_j} \left( e^{(\lambda_q + \lambda_r)V_{ij}} - 1 \right) \right]_{1 \leq q, r \leq p}$$

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Distribution: Still Gaussian.

No nice Kronecker product.

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Goal:

$$p(\theta, \mathcal{T}, \psi \mid \mathbf{Y}, \mathbf{S})$$

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Assumption:  $\mathbf{Y}$  and  $\mathbf{S}$  independent conditionally on  $\mathcal{T}$ .



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 p(\boldsymbol{\theta}, \mathcal{T}, \boldsymbol{\psi} \mid \mathbf{Y}, \mathbf{S}) &\propto p(\mathbf{Y}, \mathbf{S} \mid \boldsymbol{\theta}, \mathcal{T}, \boldsymbol{\psi}) p(\boldsymbol{\theta}, \mathcal{T}, \boldsymbol{\psi}) \\
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 &\propto p(\mathbf{Y} \mid \boldsymbol{\theta}, \mathcal{T}) p(\boldsymbol{\theta}) p(\mathbf{S} \mid \mathcal{T}, \boldsymbol{\psi}) p(\mathcal{T}, \boldsymbol{\psi})
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Assumption:  $\mathbf{Y}$  and  $\mathbf{S}$  independent conditionally on  $\mathcal{T}$ .

This talk:  $\mathcal{T}$  fixed.

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↪ Automatic sampling in the space of variance matrices.

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Likelihood:

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Need to sample in **constrained** spaces ( $\mathbf{A}$ ,  $\mathbf{R}$ ).



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+

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↪ Find a **transformation**  $f : \mathcal{C}_q \rightarrow \mathbb{R}^q$

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↔ We have a running random walk MCMC.

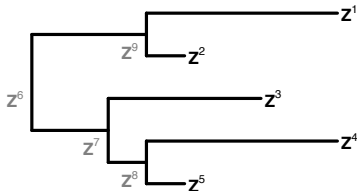
**Question:** Can we use the tree ?

## General Model

BM, OU: Instance of a general Gaussian propagation model.

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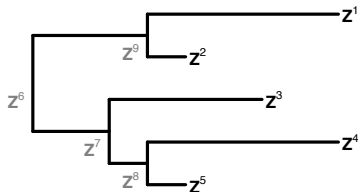
$$\mathbf{z}^r \sim \mathcal{N}(\boldsymbol{\mu}, \boldsymbol{\Gamma}) \quad \text{root}$$

$$\mathbf{z}^j \mid \mathbf{z}^{\text{pa}(j)} \sim \mathcal{N}(\mathbf{q}_j \mathbf{z}^{\text{pa}(j)} + \mathbf{r}_j, \boldsymbol{\Sigma}_j) \quad \text{nodes}$$

BM:  $\mathbf{q}_j = \mathbf{I}_p$ ,  $\mathbf{r}_j = \mathbf{0}_p$ ,  $\boldsymbol{\Sigma}_j = \ell_j \mathbf{R}$ .

# General Model

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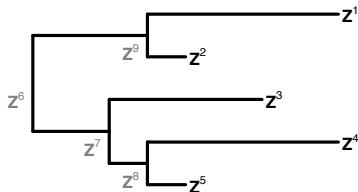
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BM:  $\mathbf{q}_j = \mathbf{I}_p$ ,  $\mathbf{r}_j = \mathbf{0}_p$ ,  $\boldsymbol{\Sigma}_j = \ell_j \mathbf{R}$ .

OU:  $\mathbf{q}_j = e^{-\mathbf{A}\ell_j}$ ,  $\mathbf{r}_j = (\mathbf{I}_p - e^{-\mathbf{A}\ell_j})\boldsymbol{\beta}_j$ ,  $\boldsymbol{\Sigma}_j = \mathbf{S} - e^{-\mathbf{A}\ell_j} \mathbf{S} e^{-\mathbf{A}^T \ell_j}$ .

# General Model

BM, OU: Instance of a general Gaussian propagation model.



$$\mathbf{z}^r \sim \mathcal{N}(\boldsymbol{\mu}, \boldsymbol{\Gamma}) \quad \text{root}$$

$$\mathbf{z}^j \mid \mathbf{z}^{\text{pa}(j)} \sim \mathcal{N}(\mathbf{q}_j \mathbf{z}^{\text{pa}(j)} + \mathbf{r}_j, \boldsymbol{\Sigma}_j) \quad \text{nodes}$$

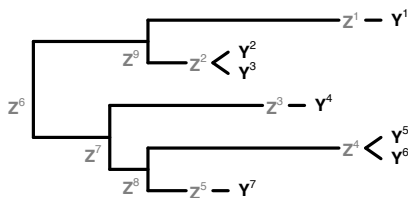
BM:  $\mathbf{q}_j = \mathbf{I}_p$ ,  $\mathbf{r}_j = \mathbf{0}_p$ ,  $\boldsymbol{\Sigma}_j = \ell_j \mathbf{R}$ .

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Drift, shifts, Integrated OU...

# General Model

BM, OU: Instance of a general Gaussian propagation model.



$$\begin{aligned} \mathbf{Z}^r &\sim \mathcal{N}(\boldsymbol{\mu}, \boldsymbol{\Gamma}) && \text{root} \\ \mathbf{Z}^j \mid \mathbf{Z}^{\text{pa}(j)} &\sim \mathcal{N}(\mathbf{q}_j \mathbf{Z}^{\text{pa}(j)} + \mathbf{r}_j, \boldsymbol{\Sigma}_j) && \text{nodes} \\ \mathbf{Y}^i \mid \mathbf{Z}^{\text{pa}(i)} &\sim \mathcal{N}(\mathbf{Z}^{\text{pa}(i)}, \mathbf{S}_i) && \text{obs} \end{aligned}$$

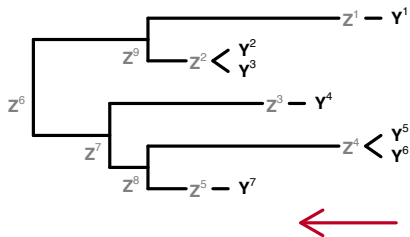
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Drift, shifts, Integrated OU...

Error Model, "Heritability".

## Efficient Computations: Likelihood



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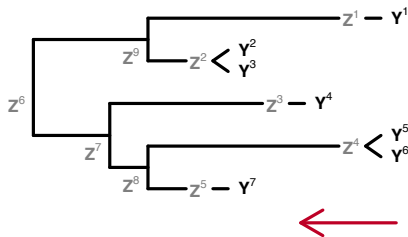
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$$\mathbf{y}^i \mid \mathbf{z}^{\text{pa}(i)} \sim \mathcal{N}(\mathbf{z}^{\text{pa}(i)}, \mathbf{S}_i) \quad \text{obs}$$

Likelihood:  $\log p(\mathbf{Y})$  in one **post-order** traversal.

$\hookrightarrow O(N)$ .

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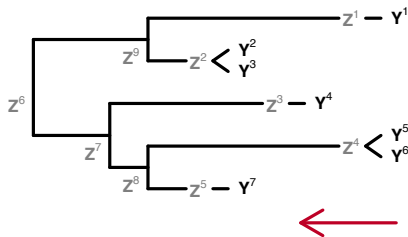
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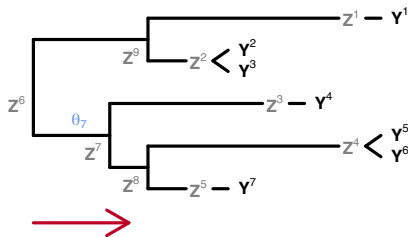
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Difficulty: Numerical robustness.

## Efficient Computations: Gradient

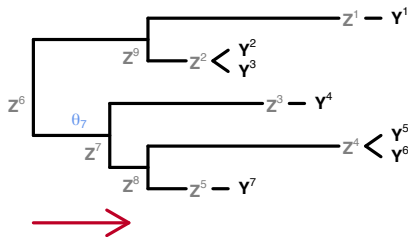


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Branch-specific Gradient: in one **pre-order** traversal.

$$\begin{aligned} \nabla_{\boldsymbol{\theta}_k} [\log p(\mathbf{Y} \mid \boldsymbol{\theta}_k)] &= \mathbb{E} [\nabla_{\boldsymbol{\theta}_k} [\log p(\mathbf{Z}^k, \mathbf{Y} \mid \boldsymbol{\theta}_k)] \mid \mathbf{Y}] \\ &= \mathbb{E} [\nabla_{\boldsymbol{\theta}_k} [\log p(\mathbf{Z}^k \mid \mathbf{Y}_{[k]}, \boldsymbol{\theta}_k)] \mid \mathbf{Y}]. \end{aligned}$$

## Efficient Computations: Gradient



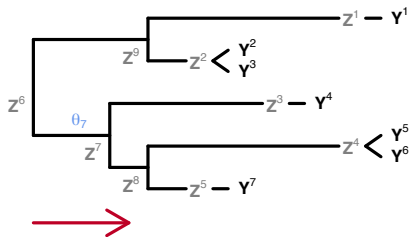
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→ HMC

# Implementation

(Suchard et al., 2018)



Bayesian Evolutionary Analysis Sampling Trees

- MCMC for tree estimation
- Comprehensive set of tools:
  - Factor model
  - Marginal Likelihood
  - ...
- Developed in Java since 2002.
- This is BEAST 1.10.

What's new:

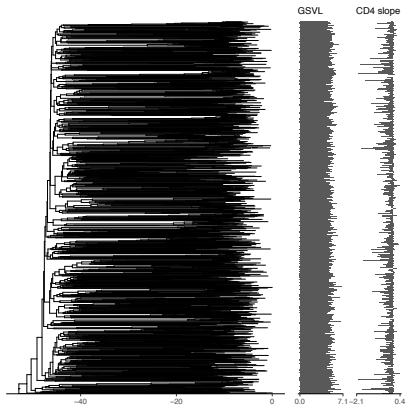
- Flexible sampling of variance
- Efficient HMC

Limitations:

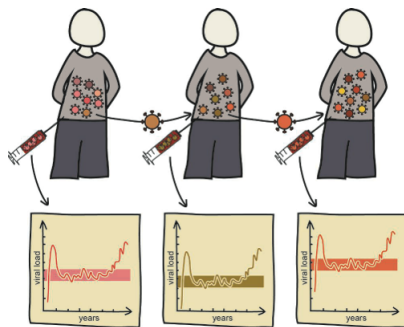
- Diagonal OU

# HIV Virulence Heritability

(Alizon et al., 2010; Blanquart et al., 2017)



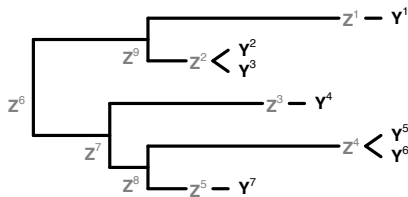
CD4: T cells decline rate  
GSVL: Set point viral load



Fraser et al. (2014)

Questions: Is virulence “heritable”? Which model of trait evolution?

# Phylogenetic Heritability



$$\begin{aligned} \mathbf{z}^r &\sim \mathcal{N}(\boldsymbol{\mu}, \boldsymbol{\Gamma}) && \text{root} \\ \mathbf{z}^j \mid \mathbf{z}^{\text{pa}(j)} &\sim \mathcal{N}(\mathbf{q}_j \mathbf{z}^{\text{pa}(j)} + \mathbf{r}_j, \boldsymbol{\Sigma}_j) && \text{nodes} \\ \mathbf{y}^i \mid \mathbf{z}^{\text{pa}(i)} &\sim \mathcal{N}(\mathbf{z}^{\text{pa}(i)}, \mathbf{S}_i) && \text{obs} \end{aligned}$$

$$\mathbf{v}(\mathbf{Z}^{\text{tip}}) = \frac{1}{n} \sum_{j=1}^n \text{Var}_{\theta}[\mathbf{z}^j], \quad \mathbf{v}(\mathbf{Y}) = \frac{1}{N} \sum_{i=1}^N \text{Var}_{\theta}[\mathbf{y}^i],$$

$$H_{kl} = \frac{V_{kl}(\mathbf{Z}^{\text{tip}})}{\sqrt{V_{kk}(\mathbf{Y})V_{ll}(\mathbf{Y})}}.$$

# Models

(Alizon et al., 2010)

We use three different models:

**BM** no selection on the traits.

**OU-BM** selection on VL, not on CD4.

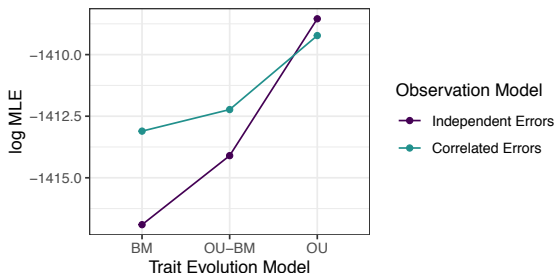
**OU** selection on both traits.

With **independent** or **correlated** errors.

- Each model is fitted using a HMC
- Estimated Marginal likelihood is used to compare them.



# Results



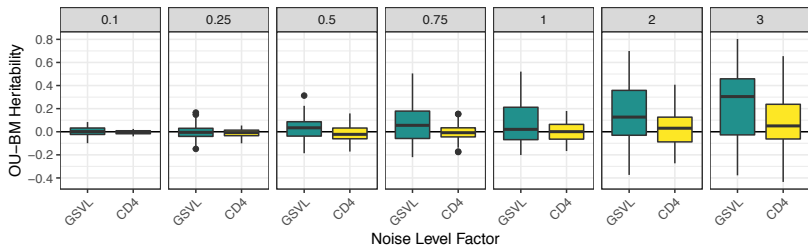
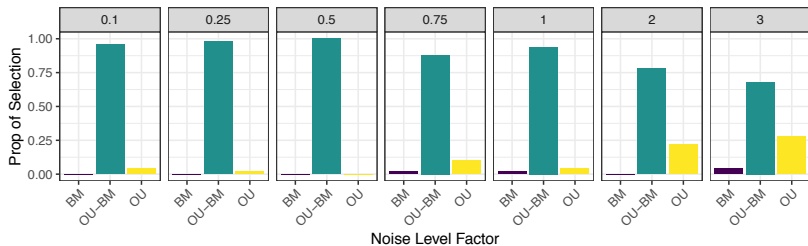
Heritability (using OU-BM):

VL  $h^2 = 0.35$  [0.22, 0.51] (95% CI)

CD4  $h^2 = 0.21$  [0.12, 0.32] (95% CI)

Consistent with previous estimates.

# OU-BM Simulations



## Conclusion and Perspectives

A general framework for trait evolution with dated tips.

### Main Features:

- Flexible models and implementation
- Efficient algorithms
- Applicable to virology, ecology, paleontology.

weasels

Preprint: [arxiv:2003.10336](https://arxiv.org/abs/2003.10336) (in print, AoAS)

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### Main Features:

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weasels

### Perspectives:

- Identifiability full OU ?
- Shifting parameters
- Other questions: geographical spread, ...

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Thank you for listening



IMAG



Rega Instituut



Reagan Medical Center

# Appendices

# MCMC

Goal: Sample from  $p(\theta | \mathbf{Y}) \propto p(\mathbf{Y} | \theta) p(\theta)$



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Metropolis - Hastings: Iterate:

- Draw  $\boldsymbol{\theta}^*$  in  $q(\boldsymbol{\theta} \mid \boldsymbol{\theta}^t)$ .
- Set  $\boldsymbol{\theta}^{(t+1)} = \boldsymbol{\theta}^*$  with probability:

$$r_t = \min \left\{ 1, \frac{p(\mathbf{Y} \mid \boldsymbol{\theta}^*) p(\boldsymbol{\theta}^*) q(\boldsymbol{\theta}^{(t)} \mid \boldsymbol{\theta}^*)}{p(\mathbf{Y} \mid \boldsymbol{\theta}^t) p(\boldsymbol{\theta}^t) q(\boldsymbol{\theta}^* \mid \boldsymbol{\theta}^{(t)})} \right\}.$$

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Gibbs:

- Split  $\boldsymbol{\theta} = (\boldsymbol{\theta}_{[1]}, \dots, \boldsymbol{\theta}_{[K]})$ .
- Draw  $\boldsymbol{\theta}^*$  in  $p(\boldsymbol{\theta}_{[k]} \mid \boldsymbol{\theta}_{[-k]}^{(t)}, \mathbf{Y})$  so that  $r_t = 1$ .

## MH in constrained space

Transformation:

$$f : \begin{cases} \mathcal{C}_q \rightarrow \mathbb{R}^q \\ \boldsymbol{\theta} \mapsto \boldsymbol{\nu} = f(\boldsymbol{\theta}) \end{cases}$$

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Metropolis - Hasting: Iterate:

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$$r_t = \min \left\{ 1, \frac{p(\mathbf{Y} | \boldsymbol{\theta}^*) p(\boldsymbol{\theta}^*) q(\boldsymbol{\nu}^{(t)} | \boldsymbol{\nu}^*) |J_f(\boldsymbol{\theta}^{(t)})|}{p(\mathbf{Y} | \boldsymbol{\theta}^t) p(\boldsymbol{\theta}^t) q(\boldsymbol{\nu}^* | \boldsymbol{\nu}^{(t)}) |J_f(\boldsymbol{\theta}^*)|} \right\}.$$

back

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$\sigma$ : Real positive :-)

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LKJ: Transformation on the space of correlation matrices.

↪ Use “vine” theory.

↪ Easier and more efficient: **Cholesky** representation.

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$$\mathbf{C} = \mathbf{W}^T \mathbf{W} = \begin{pmatrix} 1 & W_{12} & \cdots & W_{1p} \\ & W_{22} & & \vdots \\ & & \ddots & \vdots \\ 0 & & & W_{pp} \end{pmatrix}^T \begin{pmatrix} 1 & W_{12} & \cdots & W_{1p} \\ & W_{22} & & \vdots \\ & & \ddots & \vdots \\ 0 & & & W_{pp} \end{pmatrix}$$

With:

- $W_{1k}^2 + \cdots + W_{kk}^2 = 1$  (correlation)
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↪ :-)

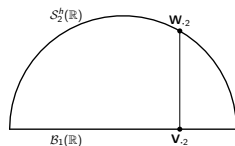
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back

## Sampling in the Sphere

→ Sphere  $\mathcal{S}_k^h(\mathbb{R})$  to euclidean ball  $\mathcal{B}_{k-1}(\mathbb{R})$ :

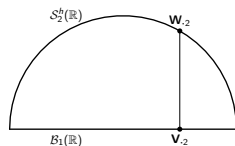
$$\mathbf{F} : \begin{cases} \mathcal{B}_{k-1}(\mathbb{R}) & \rightarrow \mathcal{S}_k^h(\mathbb{R}) \\ \mathbf{v}_{\cdot k} & \mapsto \mathbf{w}_{\cdot k} = \left( \mathbf{v}_{\cdot k}, \sqrt{1 - \|\mathbf{v}_{\cdot k}\|^2} \right) \end{cases}$$



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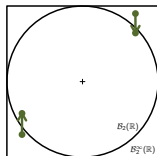
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→  $\mathcal{B}_{k-1}(\mathbb{R})$  to infinite-norm ball  $\mathcal{B}_{k-1}^\infty(\mathbb{R})$  : "LKJ"

$$\text{LKJ}_i(\mathbf{z}) = \begin{cases} z_i & \text{if } i = 1 \\ z_i \prod_{k=1}^{i-1} (1 - z_k^2)^{1/2} & \text{if } 1 < i \leq k - 1. \end{cases}$$

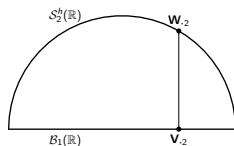




## Sampling in the Sphere

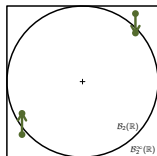
→ Sphere  $S_k^h(\mathbb{R})$  to euclidean ball  $B_{k-1}(\mathbb{R})$ :

$$F : \begin{cases} B_{k-1}(\mathbb{R}) & \rightarrow S_k^h(\mathbb{R}) \\ \mathbf{v}_{\cdot k} & \mapsto \mathbf{w}_{\cdot k} = \left( \mathbf{v}_{\cdot k}, \sqrt{1 - \|\mathbf{v}_{\cdot k}\|^2} \right) \end{cases}$$



→  $B_{k-1}(\mathbb{R})$  to infinite-norm ball  $B_{k-1}^\infty(\mathbb{R})$  : "LKJ"

$$\text{LKJ}_i(\mathbf{z}) = \begin{cases} z_i & \text{if } i = 1 \\ z_i \prod_{k=1}^{i-1} (1 - z_k^2)^{1/2} & \text{if } 1 < i \leq k - 1. \end{cases}$$



→  $B_{k-1}^\infty(\mathbb{R})$  to  $\mathbb{R}^{k-1}$ : "Fisher Z" transform

$$\tanh^{-1} : \begin{cases} ]-1, 1[ \rightarrow \mathbb{R} \\ x \mapsto \frac{1}{2} \ln \left( \frac{1+x}{1-x} \right) \end{cases}$$

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 $\mathbf{\Lambda}$ : Use  $\log(\lambda_i) - \log(\lambda_{i-1})$

## LKJ and Spherical Beta Distributions

LKJ distribution:

$$\text{LKJ}(\mathbf{C} \mid \eta) = c_p(\eta) |\mathbf{C}|^{\eta-1}$$

$\eta = 1$ : Uniform.

$\eta > 1$ : Peak around identity matrix.

$0 < \eta < 1$ : Trough around identity matrix.

Spherical Beta distribution:

$$\text{SBeta}(\mathbf{V} \mid \beta) \propto (1 - \|\mathbf{V}\|^2)^\beta$$

$\hookrightarrow$  LKJ  $\iff$  spherical beta on each of the  $\mathbf{V}_{.k} = \mathbf{W}_{.k}^{-k}$   
 (with  $\beta_k = \eta + (p - k)/2$ ).

# Hamiltonian Monte Carlo

(Betancourt, 2017)

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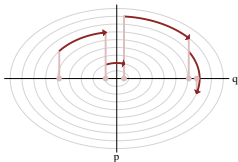
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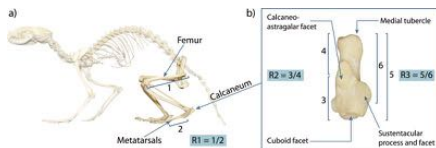
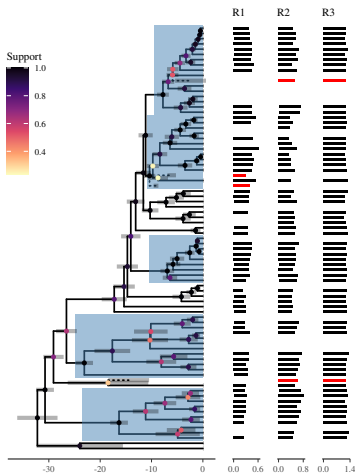
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- 1 Draw random moments  $\mathbf{p}$ .
- 2 Propose a new  $\mathbf{q}$  from the dynamic.

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# Musteloidea Superfamily



Schnitzler et al. (2017)

- Weasels and allies
- Total evidence approach
- Fossils provide a temporal signal



Raccoon and skunk in a Hollywood back yard feasting on cat food.

## Total evidence approach

**Question:** Does the continuous trait helps placing the fossils ?

**Measure:** Entropy of the branch attachment frequency vector.

*The smaller the better*

**Results:**

	<i>Pannonictis</i>	<i>Sivaonyx beyi</i>	<i>Teruelictis riparius</i>	<i>Trocharion albanense</i>
No Traits	2.84	1.80	1.24	2.28
BM	<b>2.81</b>	1.22	1.22	2.01
OU	2.92	<b>1.20</b>	<b>1.10</b>	<b>1.86</b>

The signal is not strong.

## Model Selection (fixed tree)

Question: Does including fossils change the selected model ?

Schnitzler et al. (2017):

- Univariate analyses.
- OU or trend favored *when fossils are included*.

Results: The signal is not strong.

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